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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a **PROVISIONAL APPLICATION FOR PATENT** under 37 CFR 1.53(c).

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TITLE OF THE INVENTION		
METHOD AND APPARATUS FOR STORING AND RETRIEVING ITEMS		
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Respectfully submitted,

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METHOD AND APPARATUS FOR STORING AND RETRIEVING ITEMS

FIELD OF THE INVENTION

The present invention relates to a method and apparatus
5 for storing and retrieving items such as goods, and is of
particular but by no means exclusive application in
storing and retrieving goods in preparation for
dispatching those goods from, for example, a mail-order
distribution centre, or for storing returned goods.

10

BACKGROUND OF THE INVENTION

Existing methods for storing or sorting goods typically
emphasise the need to sort goods according to type, so
that like goods are stored together and then retrieved
15 according to type for dispatch. "Type" can refer to the
nature of the goods (e.g. books verses compact disks), or
some more specific characteristic (e.g. a specific pair of
shoes in size 40 verses the same shoes but in size 42).

20 US Patent No. 5,900,610 for example, discloses a method
and apparatus for tracking an inventory for goods having a
variety of styles and characteristics, and which have a
variety of possible shipping destinations. This document
teaches a multi-part label that is attachable to each of
25 the goods and has a removable portion that can be detached
from the original label and reapplied to a shipping carton
for a collection of goods. It is claimed that, in
conjunction with an inventory distribution system, it is
thereby possible to keep track of the location of all
30 goods at any time between sorting the goods for shipment
and packing the goods for shipment.

In the same vein, US Patent No. 4,835,702 discloses a
method of sorting goods into groups which have been
35 determined in advance in the order of delivery in a
prescribed quantity, so as to allow sequential loading of
goods in a truck or the like in a "first-in-last-out"

manner. The disclosed method anticipates that the loading order is dependent on the nature and quantity of items for a particular destination, and employs a system of assembling and storage conveyors for assembling goods in
5 classified groups, a diverting conveyor for sorting out goods and a controlling system for controlling the converting conveyor and the sorting out operation.

It is not suggested that any of the above existing systems
10 form a part of a common general knowledge and, in any event, they share the common characteristic of assuming that goods are stored by type, and retrieved strictly in response to a received order.

15 SUMMARY OF THE INVENTION

According to a first aspect of the present invention, there is provided a method of storing and retrieving items, comprising:

- 20 grouping said items into one or more groups of said items;
- recording the content of each of said groups;
- comparing a batch of one or more orders for said items with the recorded content of said groups in order to locate a matching group from said groups that matches said
25 batch or orders; and
- retrieving said matching group in order to fill said batch of orders.

Thus, rather than storing the items according to their
30 type and then creating, according to demand, groups of items according to received batches of orders, the items are stored essentially randomly (such as, for example, based on how those items are initially received), and simply matched with received batches of orders as those
35 orders are received. The grouping of the items is performed either when the items are received from any source, such as the manufacturer, a distributor, or

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otherwise. For example, if goods are returned by a purchaser (such as during an approval period, as commonly employed in mail-ordering arrangements, or after a rental period), such items can be grouped in the same manner. It will be readily apparent that the sequence in which returned items are received is particularly randomized, and that the groups resulting therefrom can generally be expected to contain a large variety of items.

10 The greater the number of groups of items, the greater the probability that - for any random batch of orders - a group will have been created that matches the batch of orders. Thus, this method allows items to be stored without conventional sorting, while retaining the ability to fill most if not all batches of orders. It will be understood that the method can also be of value where the batch size is one, if - for example - each order can specify more than one item. In such cases, the method is, in effect, comparing a single order for multiple items with the existing groups of items.

The groups of items may constitute a plurality of sets of groups, each set of groups comprising one or more groups of equal numbers of items. Preferably, however, each of said groups has the same number of items.

For example, the items might be sorted into seven groups each of twenty items, ten groups each of twenty-five items, fifteen groups each of fifteen items, etc.

30 Preferably said batch of orders comprises a consecutively received plurality of orders.

Preferably the method includes locating each of said groups of items in or on a container, such as a tray, so that each of said groups can readily be transported, such as for storage, until a suitable batch of orders is

received, and for retrieving when a suitable batch of orders has been received.

5 The storage location for each group of items could be a set of pigeonholes on a shelf, or - in a computer controlled storage environment - a defined location in the storage system. A container will not always be needed. For example, when handling items such as disks, the location could contain spindles onto which the disks are
10 stored in the sequence in which they are received.

15 Preferably the method includes recording the order of said items in each of said groups, and matching said batch of orders with a matching group on the basis of both the content and sequence of items in said order and in said matching group of said items.

20 This allows items to be efficiently dispatched - item 1 to request 1, item 2 to request 2, and so on.

25 In one embodiment, if none of the existing groups of items matches a batch of orders, the method includes reducing the size of said batch of orders until said reduced batch matches a portion of at least one of said groups of items, and deeming said portion of said group of items to constitute said matching group. Preferably, under these circumstances, the remaining items of said group are subsequently grouped with other items to form new groups of said items.

30 Alternatively, if none of the existing groups of items matches a batch of orders, the method includes replacing at least one of said orders with another order from outside said batch of orders to form a modified batch of
35 orders, and then matching said modified batch of orders with a matching group on the basis of both the content and sequence of items in said order and in said matching group

of said items.

In this last embodiment, the ejected order would then be used in forming a subsequent batch or orders.

5

Preferably said method includes optimising the size of said groups.

10 This can be done based either on preliminary studies, or from experience during actual use of the method. It is expected that optimising the group size would include striking a balance between the desirability of small group size (in order to increase the probability of matching any particular batch of orders) and maximising the size of
15 each group (to minimise the number of groups requiring individual processing and handling). Depending on the nature of the items and the size of the operation, it is expected that over time an optimal group size or sizes would be ascertained. The most appropriate group size
20 depends in part on the percentage of requests that are likely to be fulfilled by the selection of a random set of items.

25 The method can be used in conjunction with a computer system where a manifest of the incoming items and the location in which they were placed is stored in the computer system. The items can be individually identified - such as by means of barcodes - so as to more efficiently create a manifest of the incoming items.

30

According to a second aspect of the present invention, there is provided a method of storing and retrieving items, comprising:

35 grouping said items into one or more groups of said items;
recording the content of each of said groups;
comparing a batch of orders for said items with

the recorded content of said groups in order to locate a matching group from said groups that most closely matches said batch or orders;

retrieving said matching group; and

5 modifying said matching group if necessary to exactly match said batch of orders;

whereby said batch of orders can be filled.

10 Thus, rather than insisting that a group of items be found that exactly matches the batch of orders, merely the closest match can be found and - if necessary - that group can be modified to form an exact match.

15 The present invention also provides an apparatus for storing and retrieving items, comprising:

data storage means for recording the location and content of each of a plurality of groups of said items;

20 data processing means for receiving information corresponding to a plurality of orders and for grouping at least some of said orders into a batch of orders and comparing said batch of orders with said contents of said groups of items in order to identify and locate a matching group; and

25 communication means for communicating the identity of said matching group.

Preferably said apparatus includes retrieval means for receiving the identity of said matching group from said communication means and retrieving said matching group.

30 The retrieval means can be any suitable device for retrieving the matching group from storage location, such as a mechanized, computer controlled trolley or sorting/retrieval device.

35 Preferably said retrieval means is also a storage means, for storing each of said groups of items as directed by

said data processing means.

BRIEF DESCRIPTION OF THE DRAWINGS

In order that the present invention may be more clearly
5 ascertained, preferred embodiments will now be described,
by way of example, with reference to the accompanying
drawings, in which:

Figure 1 is a flow chart depicting an order
fulfilment method according to a preferred embodiment of
10 the present invention;

Figure 2 is a view of a tray for containing
compact disks, for use with the method of Figure 1; and

Figure 3 is a view of the tray of Figure 2,
filled with compact disks.

DETAILED DESCRIPTION OF THE INVENTION

Figure 1 depicts a flow chart of a mail order fulfillment
method (for responding to orders for goods) according to a
preferred embodiment of the present invention. According
20 to this method, goods are received 10 at a distribution
centre from various sources. In this example, the goods
comprise compact disks. The compact disks may be of any
type, including prerecorded music, DVDs, CD-ROMs, and
blank compact disks.

25 These goods are received from a variety of sources,
including compact disk distributors and wholesalers. In
addition, however, one source of goods will be customers
who return one or more compact disks following an
30 evaluation period, or owing to some other reason.

Irrespective of their source, the goods are then
identified 12 by barcode reader or the like. If this
identification is possible, the method proceeds to step
35 20. If it is impossible 16 for the automated system to
identify the disk, human intervention 18 may be required.
In that case, however, according to this method such disks

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are ejected for later human attention without holding up the processing of other disks. This avoids delaying the processing of disks, and since - according to this method - disks are stored randomly, ejecting a particular disk
5 from the processing stream so that it can be identified by hand, and inserting it back into the stream after some delay, makes no difference to the operation of the method.

Thus, after being identified by human intervention 18, an
10 otherwise unidentifiable disk also proceeds to step 20.

At step 20, the compact disks are grouped into groups of twenty, essentially as they reach this step, each group is placed in a tray, the contents of each group (including
15 the sequence in which the disks were placed in each group) is recorded in a database of a computer, and that tray is automatically allocated to an available storage location within a warehouse portion of the distribution centre, and each tray is then transported to its assigned storage
20 location. The trays are transported either manually or by a conventional automated storage system to their respective storage location. In the latter case also controlled by the computer.

Alternatively, rather than allocating a storage location to a tray and then transporting that tray to its location, a tray may be transported to a storage location, the location noted and then entered into the database with the other details of that tray (viz. content, etc).
25

Orders for compact disks are continually received 22 from customers and grouped upon receipt into batches of the same size as the groups of compact disks, viz. twenty in this example. It will be appreciated that a single order
35 may be for one or more disk, but if for more than one that order will simply be treated as though it constitutes multiple, single disk orders.

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The orders are then compared 24 by the computer with the contents of the groups of disks, in order to identify a tray whose contents matches the order both in the
5 identification of the compact disks and in the sequence in which the disks appear in the group and in the batch of orders. If a match is found 26, the computer controls the automated storage system to retrieve the matching tray 28.

10 If no match is found 30, the size of the batch of orders being processed is modified 32. This can be done by reducing the batch size by one by ejecting, for example, the last order of the batch and making it the first order of a subsequent batch of orders (or otherwise moving it to
15 a later point in the sequence of received orders, outside the current batch). Alternatively, it may be preferred simply to swap one of the orders in the batch of orders (such as the last order in the batch) with the first received order (for example) from outside the batch that
20 differs from - in this example - that last order.

In either case, the modified batch is then returned 34 to the comparison step 24, where it is again compared with the existing groups. If the batch was modified by
25 reducing its size, the comparison can now be with any portion of the existing groups. Of course, if a match is found on this basis, the matching group will have at least one unmatched compact disk (since that group will now be greater in size than the batch of orders). These
30 unmatched disks - having already been identified - are directed, according to the method, back to step 20 for placing into new groups of disks.

Once the matching group (or tray) has been retrieved 28,
35 the contents of that tray are packaged and labelled 36 (with customer details) sequentially according to the orders in the batch. The individual packages are then

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dispatched 38.

5 Figure 2 is a view of a typical tray 40 for the compact
disks. Each compact disk 42 is placed sequentially into
the tray 40 as received by a packing machine after the
compact disk has been identified (step 12 in figure 1).
Referring to figure 3, once the tray 40 is full with, in
this example, its allocation of twenty disks 44, it is
stored (step 20), with its contents and location saved in
10 the database.

15 This method can also be used in the rental of compact
disks or the like. It is found that for disk rentals, a
random set of disks are likely to have an 80% match with
any random set of requests. According to the present
method, twenty-five disks are selected as a reasonably
efficient group size. Groups of twenty-five disks are
conveniently stored on trays which have spindles that
retain the disks in their sequence. Each tray is
20 associated with a specific location on the shelf.
New disks are individually barcoded, scanned, and stored
on a tray in the order in which they are received.
Disks being returned by the members are scanned, and
stored on a tray in the order in which they are received.
25 Once a tray is full it is stored in its appropriate
location on a shelf.

30 A computer system stores the details of the members who
have returned a disk and stores a manifest of the disks
for each tray. The computer system considers the
favourites list of each member currently requesting a disk
and finds which tray contains the closest to perfect
match. The computer system then prints mailing labels in
the same order as the disks on the selected tray. The
35 dispatcher takes the nominated tray from its shelf and,
using the mailing labels, packages and dispatches the
disks.

To store 20,000 disks, a shelf containing 20 x 40 locations can be used to hold trays of 25 disks each. If at any time this results in a tray that is not full, then
5 the tray can also be put on the shelf holding fewer than 25 disks. If the tray chosen for dispatch is not a perfect match, then the corresponding mailing label can signal this occurrence, and the unmatched disk can be placed on a tray that is treated similarly to the trays of
10 incoming disks.

Modifications within the spirit and scope of the invention may be readily effected by those skilled in the art. It is to be understood, therefore, that this invention is not
15 limited to the particular embodiments described by way of example hereinabove.

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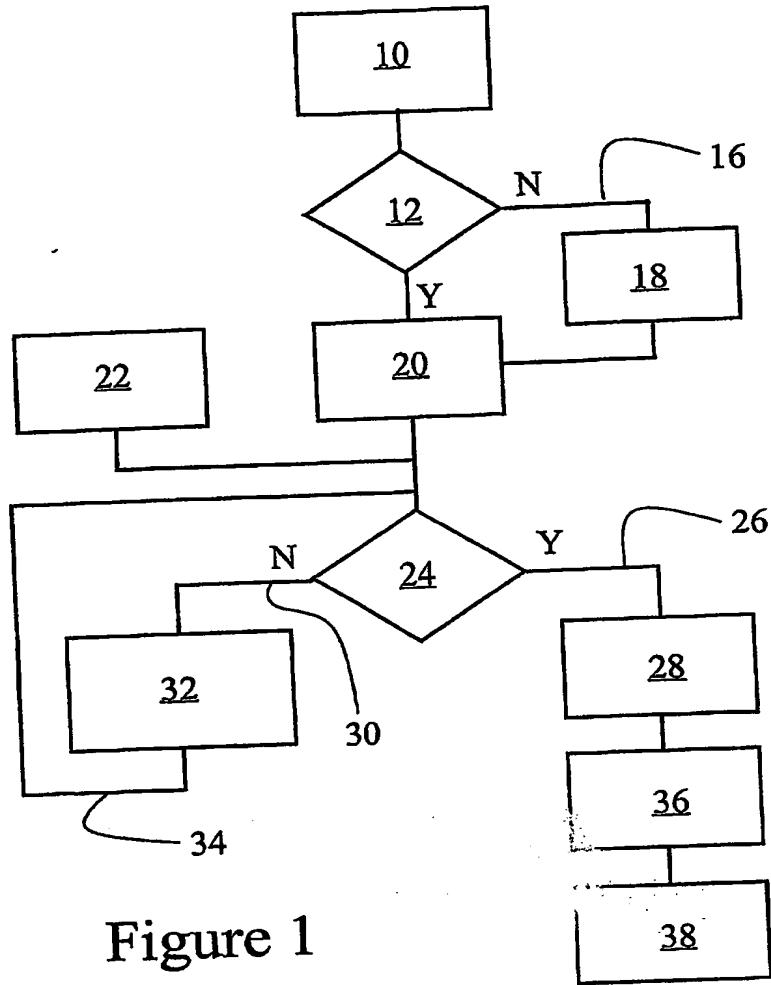


Figure 1

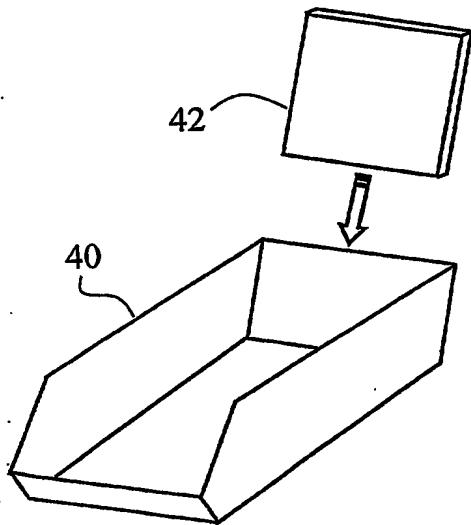


Figure 2

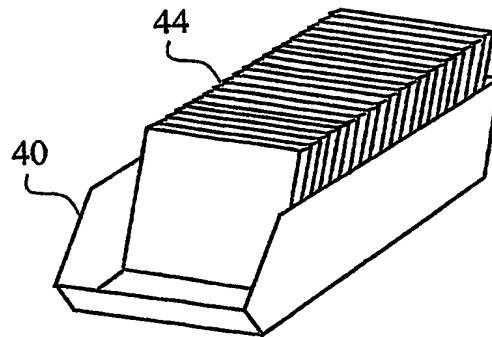


Figure 3

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